

F. No. – NA-15/4/2020-NA
Government of India
Ministry of Environment, Forest and Climate Change
(National Authority)
Email: adhoc-campa-mef@nic.in

Hall No.1, 4th Floor
Block No.3, CGO Complex
Lodhi Road, New Delhi-110003
Dated the 8th June, 2021

To,
The Principal Secretary
Department of Forest and Environment
Government of Uttarakhand
Dehradun

Sub: Annual Plan of Operation (APO) for the year 2021-22 of Uttarakhand State CAMPA -reg.

Sir/Madam,

I am directed to refer to letter No. 09 /GoI/2021-22 dated 08th April, 2021 of CEO, Uttarakhand CAMPA on the above-mentioned subject and to convey the approval of the Executive Committee of the National Authority under Section 15 (1) (i) of the Compensatory Afforestation Fund (CAF) Act, 2016 for the Annual Plan of Operation- Uttarakhand (2021-22) at a total cost of **Rs. 178.86 crore [One hundred seventy-eight crore and eighty-six lakh Only]** out of proposed outlay of **Rs. 950.81 crore** as recommended by the States Steering Committee for carrying out approved activities during the current financial year from the "State Compensatory Afforestation Fund - Uttarakhand" created under Section 4 of CAF Act, 2016.

2. The APO was discussed in the 12th meeting of the Executive Committee of the National Authority held on 27th April, 2021. The component wise approvals accorded by the Executive Committee are as under:

Sl. No.	Activity	Physical Target	Financial Target (Rs. in Crores)	Remarks
1.	Site Specific Activities			
A.	Compensatory Afforestation			
	a. Advance Soil Works	2106.87 Ha.	17.43	As per Page 184-190 of APO
	b. Plantation	2817.93 Ha.	10.26	As per Page 191-201 of APO
	c. Plantation Maintenance	10,577 Ha.	13.14	
	c. Nursery Maintenance	61,88,062 Plants	2.47	As per Page 254-258 of APO
	d. Nursery Raising/Upgradation	33,72,838 Plants	3.37	As per Page 259-261 of APO
	Sub-total : Approved		46.67	



Sl. No.	Activity	Physical Target	Financial Target (Rs. in Crores)	Remarks
B.	Catchment Area Treatment (CAT) Plan			
	Tapovan Vishnugad, Lata Tapovan, Singoli Bhatwari HEP, Phatta Byung HEP, Srinagar HEP, Lakhwar HEP, Vishungad Pipalkoti HEP, Vyasi HEP, Mori Naitwar HEP, Jamrani HEP, Khutani HEP and New CAT plans		109.60*	Brief Description, Physical and financial break-up of sub-activities and their summary to be furnished
	Sub-total : Deferred		109.60*	
C.	Other 'Specified' Works			
	a. Road Side Plantations (Km)	316 Row KM	6.20*	Physical and financial targets shown in the abstract do not match with those shown in corresponding details of APO
	b. Gap Filling Plantations	22.2 Ha	3.39*	
	c. Dwarf Species ASW / Plantations	181.59 Ha	1.86*	
	d. Nursery Upgradation/ Maintenance	7,01,657 Plants	0.80*	
	e. River Training Works [KOSI, DABKA, SONG, GOLA & Others]	LS	8.10*	Brief description, basis of cost estimation etc. to be furnished
	f. Muck Disposal	LS	4.80*	Details to be furnished
	Sub-total :Deferred		25.15*	
	Sub-total (Site specific Activities):Deferred		134.75*	
	Sub-total (Site Specific activities):Approved		46.67	
2.	Proposed activities as per Rule 5 (2) of the CAF Rules, 2018			
A.	Assisted Natural Regeneration (ANR)	1,545.5 Ha	11.08	
B.	Artificial Regeneration/Plantations (Oak, Deodar, others)	845 Ha	5.80*	Physical and financial targets shown in the abstract do not match with those shown in corresponding details of APO
	Nurseries & Maintenance (Oak, Deodar, others)	12,02,603 Plants	3.34*	
	Nursery Upgradation/establishment of modern nursery under NPV	43 number	4.30*	Details to be furnished

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Sl. No.	Activity	Physical Target	Financial Target (Rs. in Crores)	Remarks
	Establishment of City Forest in Dehradun	3 in number	2.25*	Details to be furnished
	Provision for afforestation in Urban areas (Green lungs development) nature based responsible landscape development @100 Ha/district	1,300 Ha	20.00*	Details to be furnished
	Nursery Maintenance of Medicinal and Aromatic Plants	5,00,000 Plants	0.20*	Details to be furnished
	Establishment of High- Altitude Plants Conservation Area (No of Divisions)	2 Divisions	0.10*	Details to be furnished
	Afforestation led treatment of Bhela, Dhela, Suswa, Pilakhar, Nandhaur & Kalyani rivers and Yamuna River	7 Rivers	20.00*	Details to be furnished
	Community based Mahila plant nursery development and maintenance	250 Nurseries	10.00*	Details to be furnished
	Sub-total :Deferred		65.99*	
C.	Forest Fire Prevention & Control Operations during fire season			
		4465 Fire watchers / Hiring of 74 Vehicles / 33 Crew stations	30.00*	Brief description, basis of cost estimation etc., financial break-up of sub- activities and their summary to be furnished. Further, expenditure related to fire line are permissible under Rule 5(3)(e)
	Sub-total: Deferred		30.00*	
D.	Soil and Moisture Conservation Works for regeneration of forest			
	a. Contour Trenches	8,607 Ha	9.48	
	b. Chal Khal / Check Dams	18,704 in number	37.00	

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Sl. No.	Activity	Physical Target	Financial Target (Rs. in Crores)	Remarks
	c. Const. of water harvesting structure for soil water resources for regeneration of forest areas [Water Bodies of 0.10 - 2.50 lakh litres]	3,895 in number	12.62	
	d. Rejuvenation of water sources [Dhara-Naula ,Kund , Taal and Maintenance of structures]	763 in number	15.68	
	e. Rejuvenation of Rivers	24 Rivers	19.18*	Brief description, basis of cost estimation etc., financial break-up of sub- activities and their summary to be furnished
	e. Plantation for Soil and Water conservation	185 Ha	1.70	
	f. Percolation Pits / Tanks	484 Ha	1.16	
	Sub-total :Deferred		19.18*	
	Sub-total :Approved		77.67	
F.	Improvement of Wildlife Habitat			
	a. Habitat Improvement (Lantana Removal, Maintenance and seed sowing/grassland nursery)	11,882.78 Ha	37.03	
	b. Pasture/Chaur Development (Ha)	520 Ha	1.06	
	c. Construction and maintenance of Water Holes	389 in number	2.38	
	Sub-total: Approved		40.47	
G.	Strengthening of Van Panchayats			

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Sl. No.	Activity	Physical Target	Financial Target (Rs. in Crores)	Remarks
	a. Different activities in Van Panchayats(ANR, Pasture Development, Plantations, Training and Workshops, Preparations of Micro-plans etc.	4000 Van Panchayats	86.96*	Details to be furnished
	b. Forest Fire Management in Van Panchayat		3.78*	Details to be furnished
	Sub-total(Van-Panchayat):Deferred		90.74*	
	Sub-total of Rule 5 (2):Deferred		205.91*	
	Sub-total of Rule 5 (2):Approved		129.19	
3.	Activities as per Rule 5(3) of the CAF Rules, 2018			
	a. Maintenance of Fire lines	3500 Km	1.80*	Details to be furnished
	Sub-total: Deferred		1.80*	
	Sub-total (NPV):Deferred		207.71*	
	Sub-total (NPV):Approved		129.19	
4.	Activities as per Rule 6(a) of the CAF Rules, 2018			
	a. For disbursement of Salaries & allowances of members and staff, both regular and contractual, of the State Authority; sitting fees and allowances to nominated members of State Authority	Salary of 25 CAMPA office staff & other management cost	2.50	
	e. Creation of ANR plantations, High-tech & Community based Nurseries , Artificial Regeneration , Habitat Improvement (Ha/Nos.)	2000 Ha ANR and 4 Hi-tech nurseries	10.00*	Details not furnished
	Sub-total :Deferred		10.00*	
	Sub-total:Approved		2.50	
5.	Activities as per Rule 6(b) of the CAF Rules, 2018			

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Sl. No.	Activity	Physical Target	Financial Target (Rs. in Crores)	Remarks
	a. Management of Office establishment of State Authority (printing & stationary, postage & telephone expenses including internet, office maintenance expenses etc.)		1.00*	
	c. Hiring of Staff Cars for the use of the officers and officials of State Authority	8 Vehicles	0.50	
	Sub-total :Deferred		1.00*	
	Sub-total: Approved		0.50	
	Subtotal (Interest): Deferred		11.00	
	Sub-total (Interest): Approved		3.00	
	Grand total: Deferred Activities		353.46	
	Grand Total(Approved Activities)		178.86	

3. Out of activities amounting to Rs 532.32 crore, which were approved “in-principal” by the Executive Committee of the National Authority, those amounting to Rs 353.46 crore have been deferred. The reasons for such deferment are cited in the remarks column of above table. The State CAMPA is requested to furnish the details related to these activities in accordance with Rule 2(b) and Rule 39 of the CAF Rules, 2018, which also include their brief description, rationale of activities proposed, their estimated cost and basis of cost estimation. In addition, district/forest wise details of each activity should be followed by a summary showing the physical and financial targets of the sub-components in measurable terms. Further, to avoid a delay in sanction of remaining activities of the APO, the State CAMPA is requested to re-examine them at their level as per above guidance.

4. The approval is subject to the following conditions:-

- i. Utilization of State Compensatory Afforestation Fund (CAF) shall be done in such a manner that at least 80 per cent is used for afforestation, forest development and wildlife habitat improvement and maximum 20 per cent be used for infrastructure/capacity building related items.
- ii. KML files of afforestation and other major works and their periodic photos (before start of the work and after the completion of the work on an annual basis) and their financial progress shall be uploaded on E-Green Watch portal periodically.
- iii. Casual engagement of local people or labours to assist regular staff of State Forest Department for works for protection of forest and wildlife undertaken from State fund as per rule 5(3) (f) is subject to the limit of 25% of total cost under the component. These

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- will be standalone and monitor-able items of work, and there shall be no mixing of funds for the same work.
- iv. Monitoring and evaluation of the works undertaken shall be the highest priority for the State Authorities, and the EC and the Steering Committee of all the State Authorities shall take adequate measures in this regard.
 - v. For third party monitoring state should engage agency who are independently working and it should not be local NGOs/ organisations, attached/ working with the forest departments.
 - vi. The monitoring and evaluation report of the works done upto the year 2020-21 be ready by September, 2021, and similarly report on activities taken up in 2021-22 must be made available in time by September 2022.
 - vii. It is to be noted that from now on, a third party (independent)-Monitoring Report of major works undertaken in the previous year shall be submitted along with other necessary documents for consideration of APO by the EC of NA.
 - viii. A statement that the Annual Report and the Audit Report together with a memorandum of action taken on recommendations contained therein has been laid in each house of the State legislature as per requirements of section 29 of the CAF Act 2016, shall be invariably submitted with the proposal for approval of the APO by the EC of NA.
 - ix. Prior to implementation of approved APOs the EC of the State Authority shall ensure that a certificate is available with them to the effect that the proposed activities are being taken up after due consultation with Gram Sabha and are as per provisions of FRA 2006; activities proposed in forest areas are in consonance with approved working plan; and activities proposed in protected areas (PAs) are in consonance with approved management plan.
 - x. The State EC will also ensure that there is no overlapping of activities / funding with other schemes and may provide a certificate to that effect.
 - xi. Local species, especially fruit and fodder species, has to be given preference in afforestation/ regeneration to be carried out; monoculture should not be undertaken, and planting of exotic species shall be avoided.
 - xii. Compensating the loss of ecological services due to forest diversion for non-forestry purposes is the main objective of Compensatory Afforestation Fund. Regeneration and development of forests should, therefore, be given priority. Also, priority should be accorded to labour intensive activities for regeneration and development of forests.
 - xiii. State Forest Fire Action Plan proposed in the Supplementary APOS/APOS shall be a "standalone" activity.
 - xiv. The approved activities shall be carried out as per Rule 4 of the Compensatory Afforestation Fund (CAF) Rules, 2018 i.e. as per the provisions of the State Financial Rules or any such rules applicable from time to time in the State.
 - xv. The Executive Committee of the State shall also ensure that proposed activities have adequate forward and backward linkages and are being taken up as per prevailing approved minimum wage rate, schedule of rates, model estimates etc. In future, the APOs that are sent to the National Authority for approval must be accompanied with rationale of activities proposed, information on approved minimum wage rate, schedule of rates, model estimates etc.
 - xvi. There should not be any reduction in allocation for forestry sector in the regular State Budget due to flow of CAMPA funds to the states. In addition, the State Government



- shall also make timely and adequate budget provision as per approved APO and release money so that approved activities could be implemented in time.
- xvii. Works related to Eco-tourism and Eco-development are permissible only as per approved site-specific schemes.
- xviii. Soil and moisture conservation work shall be carried out in an integrated manner under watershed approach from "ridge to valley" only in degraded forests. This shall focus on assisted natural regeneration and supplemented by artificial seeding with quantifiable monitoring parameters. Construction of Dams, Stop Dam and ponds and their deepening are to be taken up only if they are a part of an integrated soil and moisture conservation plan of the catchment area. Since this component (SWC for regeneration of forests) is a very large work, the efficacy of the design and the activities shall be concurrently monitored by a reputed independent agency. Actions will be, accordingly, taken during the lifetime of each project. The overall impact on improved forest regeneration shall be shared with NAEB, MoEF&CC on an annual basis.
- xix. The proposed activities in the APO need to be rearranged at some places as per sub- rules of rule 5 and 6 of CAF Rules, 2018, wherever found inappropriate. The State Authority shall make necessary changes in the APO accordingly with the permission of the State Executive Authority.
- xx. Purchase of vehicles shall be avoided. Instead, hiring of vehicles shall be the first option. Repair/ maintenance of vehicles shall be limited to the vehicles purchased from the CAMPA funds previously.
- xxi. 'Measurable output' of all physical activities and targets of each permissible activity along with period required for achieving the measurable output shall be monitored by the State Executive Committee and State Steering Committee.
- xxii. The validity of APO (2021-22) shall expire on 31.03.2022. Further continuation of the incomplete activities shall be permissible only if they are included in the APO (2022-23) and are approved.
- xxiii. The final approval of APO (2021-22) shall be considered only after the State Government/UT Administration submits a compliance report as per the guidelines regarding utilization of unspent balance of the Ad-hoc CAMPA issued vide letter no. NA-15/1/2020-NA dated 15.07.2020 of Ministry of Environment, Forest and Climate Change.

Yours faithfully,


(A K Prabhakar)

(Deputy Chief Executive Officer)
National Authority

Copy to:

1. PCCF(HoFF)-cum-Chairperson, the Executive Committee of the Uttarakhand State CAMPA, Government of Uttarakhand, Dehradun
2. Chief Executive Officer, Uttarakhand State CAMPA, Dehradun
3. Secretary, Department of Finance, Government of Uttarakhand,

4. Secretary, Department of Planning, Government of Uttarakhand, Dehradun
5. Accountant General, Dehradun

Copy also forwarded to:

1. Director General of Forests & Special Secretary, Ministry of Environment, Forest and Climate Change, New Delhi
2. SS & FA, Ministry of Environment, Forest and Climate Change, New Delhi
3. Chief Executive Officer, National Authority, Ministry of Environment, Forest and Climate Change, New Delhi
4. ADG FC/WL/NTCA, Ministry of Environment, Forest and Climate Change, New Delhi
5. Mission Director, National Mission for Green India, Ministry of Environment, Forest and Climate Change, Government of India, New Delhi
6. Deputy Director General of Forests, Integrated Regional Office, Ministry of Environment, Forest and Climate Change (All)
7. IGF FC/WL/FPD/SU/PE/FP/NAEB/NTCA, Ministry of Environment, Forest and Climate Change, New Delhi
8. Principal Director (Audit), Scientific Department, AGCR Building, New Delhi
9. IFD/Sanction Folder/ Guard File.
10. NIC Cell with a request to upload the sanction order on PARIVESH and e-Greenwatch.



(A K Prabhakar)
Deputy Chief Executive Officer
National Authority